



**Nottingham and
Nottinghamshire**
Integrated Care Board

Patient Safety Incident Response Policy

November 2023 - September 2024

Control Record

Reference Number NUR-006	Version 1.0	Status Final	Author Penny Cole, Assistant Director of Quality & Safety, NNICB Sponsor Diane-Kareen Charles, Deputy Chief Nurse & Director of Quality, NNICB Team Quality Assurance and Quality Intelligence
Title	Patient Safety Incident Response Policy		
Amendments	No previous documents. New Policy.		
Purpose	The Patient Safety Incident Response Policy sets out Nottingham and Nottinghamshire Integrated Care Board's approach to fulfilling our roles and responsibilities as defined in the Patient Safety Incident Response Framework. https://www.england.nhs.uk/patient-safety/incident-response-framework/ https://www.england.nhs.uk/wp-content/uploads/2022/08/B1465-4.-Oversight-roles-and-responsibilities-specification-v1-FINAL.pdf		
Superseded Documents	N/A		
Audience	All staff within the NHS Nottingham and Nottinghamshire Integrated Care Board; All ICS partner organisations; Nominated Patient Safety Specialists.		
Consulted with	NNICS Patient Safety Incident Response Framework Implementation Group (section four); NNICB Patient Safety Specialists (section eight); NNICS System Quality Group members (full draft).		
Equality Impact Assessment	Completed October 2023		
Approving Body	Quality and People Committee	Date approved	November 2023
Date of Issue	November 2023		
Review Date	September 2024		
<p>This is a controlled document and whilst this policy may be printed, the electronic version available on the ICB's document management system is the only true copy. As a controlled document, this document should not be saved onto local or network drives.</p>			
<p> </p>			

NHS Nottingham and Nottinghamshire Integrated Care Board (ICB's) policies can be made available on request in a range of languages, large print, Braille, audio, electronic and other accessible formats from the Engagement and Communications Team at nnicb-nn.comms@nhs.net.

Contents

		Page
1	Introduction	4
2	Purpose	4
3	Scope	5
4	Our Patient Safety Culture	6
5	Patient Safety Partners	7
6	Addressing Health Inequalities	7
7	Engaging and Involving Patients, Families and Staff following a Patient Safety Incident	7
8	Provider Patient Safety Incident Response Policies and Plans	8
9	Supporting the Effectiveness of Systems to Achieve Improvement	9
10	Supporting Cross-System Response	10
11	Sharing Insights to Improve Safety	10
12	Definitions	10
13	Roles and Responsibilities	11
14	Communication, Monitoring and Review	11
15	Staff Training	11
16	Equality and Diversity Statement	12
17	Interaction with other Policies	12
	Appendix 1: System Quality Governance Structure	13
	Appendix 2: Small and Independent Provider PSIRF Process Map	14
	Appendix 3: NNICB PSIRP sign off process	15
	Appendix 4: Equality Impact Assessment	16

1. Introduction

- 1.1. The Patient Safety Incident Response Framework (PSIRF) sets out the NHS approach to developing and maintaining effective systems and processes for responding to patient safety incidents for the purpose of learning and improving patient safety.
- 1.2. PSIRF replaces the current 'Serious Incident Framework' and is a key component of the NHS patient safety strategy. It is a contractual (mandatory) requirement under the NHS Standard Contract.
- 1.3. There are four key aims:
 - a) Compassionate engagement and involvement of those affected by patient safety incidents.
 - b) Application of a range of system-based approaches to learning from patient safety incidents.
 - c) Considered and proportionate responses to patient safety incidents.
 - d) Supportive oversight focused on strengthening response system functioning and improvement.

2. Purpose

- 2.1. The leadership and management functions of the Patient Safety Incident Response Framework (PSIRF) are multifaceted. The PSIRF advocates oversight that enables organisations to demonstrate improvement rather than compliance with prescriptive, centrally mandated measures. To achieve this, oversight of patient safety incident response under PSIRF must focus on engagement and empowerment rather than more traditional command and control.
- 2.2. This policy sets out Nottingham and Nottinghamshire Integrated Care Board's (NNICB's) approach to fulfilling the roles and responsibility requirements for Integrated Care Boards as defined in the PSIRF:
 - Collaborate with providers in the development, maintenance and review of provider patient safety incident response policies and plans.
 - Agree provider patient safety incident response policy and plans.
 - Oversee and support effectiveness of systems to achieve improvement following patient safety incidents.
 - Support co-ordination of cross-system learning responses.
 - Share insights and information across organisations/services to improve safety.

3. Scope

- 3.1. This policy is specific to roles and responsibilities in relation to patient safety incident responses conducted solely for the purpose of learning and improvement across the Nottingham and Nottinghamshire Integrated Care System (NNICS).
- 3.2. The following principles underpin the oversight of patient safety incident response:
 - Improvement is the focus.
 - Blame restricts insight.
 - Learning from patient safety incidents is a proactive step towards improvement.
 - Collaboration is key.
 - Psychological safety allows learning to occur.
 - Curiosity is powerful.
- 3.3. Roles and responsibilities under this policy support a systems-based approach. This recognises that patient safety is an emergent property of the healthcare system: that is, safety emerges from interactions and not from a single component. Actions or inactions of people, or 'human error,' are not accepted as the cause of an incident.
- 3.4. Response types that are outside the scope of this policy include:
 - Complaints.
 - Human Resources investigations.
 - Professional standards investigations.
 - Coronial inquests.
 - Criminal investigations.
 - Claims management.
 - Financial investigations and audits.
 - Safeguarding concerns.
 - Information governance concerns.
 - Estates and Facilities issues.
 - Any response that seeks to find liability, accountability, or causality.

4. Our Patient Safety Culture

- 4.1 The ICB both embodies and facilitates an open and transparent reporting of patient safety events, both within our organisation and across our system. The transparency required for a thorough, candid, and systematic approach to learning and improvement is supported our quality governance and oversight mechanisms which are well embedded across the system.
- 4.2 Staff are encouraged to share and escalate any safety concerns, using Freedom to Speak Up routes and in some areas specialist Patient Safety Champions. Trust Boards and sub-committees receive regular reports relating to concerns, escalations, challenges, and actions.
- 4.3 Multidisciplinary meetings, take place regularly to review significant incidents based on locally agreed definitions and in the spirit of this transparency these include the ICB Quality and Safety team.

Development of a just culture

- 4.4 The ICB both embodies and facilitates a just culture which in line with the NHS guidance promotes:

‘... a consistent, constructive and fair evaluation of the actions of staff involved in patient safety incidents.’¹
- 4.5 This includes clear and concise guidance to support practitioners and their managers to identify proportionate responses to individual actions, where indicated. It is not intended for routine use in all patient safety incident responses.
- 4.6 This just culture helps to prevent unconscious bias in decision-making, and protects individuals regardless of their staff group, profession, or background.
- 4.7 The ICBs just and restorative culture promotes fairness, transparency and learning. This is achieved through acknowledgement that success and mistakes have complex foundations, and through a focus on changing systems and processes so that it is easier for people to do their jobs safely.
- 4.8 The ICB along with all partners in the system are committed to supporting this as a principle of the NHS People Plan² which are reflected in the staff survey questions and built into the standard contract for 2023/24.

¹ https://www.england.nhs.uk/wp-content/uploads/2021/02/NHS_0932_JC_Poster_A3.pdf

² <https://www.england.nhs.uk/ournhspeople/>

5. Patient Safety Partners

- 5.1 The ICB will recruit a Patient Safety Partner (PSP) following the national guidance and reflecting the national PSP role description and with the purpose, training and support needs identified:
- **Purpose:** The ICB PSP will be an active member of key safety governance meetings, including the Partner Quality Assurance and Improvement Group (PQAIG) and the System Quality Group (SQG). See Appendix 2 for the system governance structure, with key meetings highlighted.
 - **Training:** As a minimum, training will be provided in information governance; equality, diversity and inclusion; safeguarding level 1; and levels 1 and 2 of the NHS patient safety syllabus.
 - **Support needs:** The ICB will co-ordinate and facilitate a network and support forum for the system Patient Safety Partners including those recruited by partner organisations.

6. Addressing Health Inequalities

- 6.1 Local Patient Safety Incident Response Plans (PSIRPs) will be developed using the national framework and templates. This will ensure a thorough and focused approach to national priorities requiring incident investigation which includes specific groups of people with protected characteristics³.
- 6.2 Demographic information which is available across the system will be used for thematic analysis to support targeted quality improvement activities – this will include but not be restricted to the identification of quality priorities related to tissue viability risk assessment for people with black and brown skin; and emerging perinatal safety awareness around risk assessments for black, Asian and minority ethnic babies⁴.
- 6.3 The Health Inequalities dashboard will provide data for exploring inequalities across three domains – outcomes, access and experience.

7. Engaging and Involving Patients, Families and Staff following a Patient Safety Incident

- 7.1 ICB will provide support for providers in responding to a patient safety incident. Section 8 and the table at Appendix 2 describe how the ICB will ensure collaboration and sharing of learning across the system.

³ <https://www.england.nhs.uk/learning-disabilities/improving-health/learning-from-lives-and-deaths/>

⁴ <https://www.nhs.uk/wp-content/uploads/2023/07/RHO-Neonatal-Assessment-Report.pdf>

- 7.2 The ICB and provider colleagues have developed an approach to Quality Risk Profiles (QRPs) which articulate highlights, challenges, risks and mitigations. These profiles are shared widely and continue to develop to enable quality improvement activity to be a key focus.
- 7.3 Engaging with service users and families, especially regarding whether a full investigation is indicated, will need to be evaluated over the first year of the policy with particular regard for specialties (Acute Mental Health and Maternity).
- 7.4 Section 9 describes how the ICB will facilitate the approach to cross system responses where multiple providers are involved.
- 7.5 In addition to this, NNICB acknowledges its Duty of Candour and in practice would allocate a named point of contact for an individual or family impacted by a cross system incident.

8. Provider Patient Safety Incident Response Policies and Plans

- 8.1 The ICB leads the ICS (Integrated Care System) PSIRF Implementation as the operational working group bringing key partners and stakeholders together to review, adopt and implement the national guidance.
- 8.2 The group meets monthly with administrative and chairing functions provided by the ICB Quality Assurance Team.
- 8.3 A Chair's report detailing activities and progress is submitted to the System Quality Group and the Regional Patient Safety Team, which then is reported into the ICB through monthly reporting into the Quality and People Committee.
- 8.4 There are strong relationships between the ICB Quality Team based on regular informal touchpoint meetings and reciprocal attendance at quality and governance meetings. These relationships have enabled ongoing discourse around the development, methodology, outcomes and clarification associated with the development of provider PSIRPs.
- 8.5 Small and Independent Providers: Collaboration with small and independent is facilitated locally by the ICB Quality Assurance Team. Broadly speaking the approach to development of PSIRPs for small and independent providers uses the process map described at Appendix 2.
- 8.6 Lead Commissioning Arrangements: Existing quality and governance oversight relationships have supported the collaborative approach to agreement of PSIRPs where NNICB is not the lead commissioner, East Midlands Ambulance Service (lead commissioner Derby and Derbyshire ICB); IMPACT Provider Collaborative (lead commissioner Northamptonshire ICB); Ramsey Healthcare (lead commissioners in London and Lancashire).

- 8.7 Local Maternity and Neonatal System: The Nottingham and Nottinghamshire LMNS includes Sherwood Forest Hospitals (SFH) and Nottingham University Hospitals (NUH). Its governance structure is mature with a perinatal quality surveillance model based on the national guidance⁵.
- 8.8 The ICB Chair of the PSIRF Implementation Group will also Chair of the LMNS Perinatal Quality Surveillance Group, which has helped to raise the profile of PSIRF in maternity settings and to support the inclusion of maternity and neonatal services in the provider plans.
- 8.9 The process for agreeing PSIRPs is described in the flowchart at Appendix 3.
- 8.10 Pre-existing governance arrangements based on the National Quality Board guidelines⁶ ensure that partners meet regularly in the system space to discuss existing and emerging quality and safety concerns including themes and trends from incident reviews (see Appendix 1).

9. Supporting the Effectiveness of Systems to Achieve Improvement

- 9.1 The ICB oversight through under PSIRF will focus on engagement and empowerment rather than the more traditional command and control.⁷
- 9.2 At NNICB this approach has been in development since the ICB was formed in July 2022. Section 6 and the chart at Appendix 1 demonstrate an approach which provides regular formal engagement opportunities, strengthened by informal relationships and the encouragement of open and honest behaviours in all settings. This also demonstrated the way in which assurance about quality improvement is reported into the ICB.
- 9.3 As detailed in Section 7 above, the established precedent for shared review of learning in the LMNS perinatal quality surveillance workstream will continue; and opportunities to apply this approach more widely via the system Patient Safety Specialist network.
- 9.4 Effectiveness of the local and system quality improvement activities will be monitored through Quality Risk Profiles and reported via the system quality governance route.
- 9.5 A training needs analysis is underway for NNICB to consolidate a single view of skills and competencies for individuals with oversight responsibilities. This is a key aspect of evaluation for the first year of this policy.

⁵ <https://www.england.nhs.uk/wp-content/uploads/2020/12/implementing-a-revised-perinatal-quality-surveillance-model.pdf>

⁶ <https://www.england.nhs.uk/publication/national-guidance-on-quality-risk-response-and-escalation-in-integrated-care-systems/>

⁷ <https://www.england.nhs.uk/wp-content/uploads/2022/08/B1465-4.-Oversight-roles-and-responsibilities-specification-v1-FINAL.pdf>

10. Supporting Cross-System Response

- 10.1 Any cross-system responses required are coordinated through existing mechanisms, for example:
- Clinical Quality Review Group hosted by lead commissioner (EMAS).
 - Quality Improvement Group established in line with National Quality Board recommendations (British Pregnancy Advisory Service).
 - Direct contact after incident review to agree shared approach (LMNS Guideline Variation Group).
- 10.2 Where independent investigation or other learning response is required, the ICB will work with the NHS Regional Independent Investigation Team as appropriate.

11. Sharing Insights to Improve Safety

- 11.1 The quality governance structure is organised to provide regular opportunities for partners to share and learn, through formal and informal routes.
- 11.2 The ICS Patient Safety Specialists meet with the NHS England regional team through established arrangements, building a network with peers and developing mechanisms for capturing and sharing insights.
- 11.3 The effectiveness of these approaches will be evaluated during the first year of this policy.

12. Definitions

Term	Definition
Duty of Candour	A professional responsibility to be open and honest with patients and families when something goes wrong.
Oversight	An approach that allows organisations to demonstrate improvement rather than compliance with prescriptive, centrally mandated measures.
Provider	All acute, ambulance, mental health and community healthcare providers who operate under the NHS Standard Contract. Includes small and independent providers of NHS commissioned services.
PSIRF	Patient Safety Incident Response Framework.
PSIRP	Patient Safety Incident Response Policy.
PSP	Patient Safety Partner – patient, carers or other lay people who support and contribute to a healthcare organisation's governance and management processes for patient safety.
PSS	Patient Safety Specialist – individuals in healthcare organisations (including NHS providers and Integrated Care

Term	Definition
	Boards) who have been designated to provide dynamic senior patient safety leadership.

13. Roles and Responsibilities

Role	Responsibilities
Integrated Care Board (ICB)	<ul style="list-style-type: none"> • Collaborate with providers in the development, maintenance and review of provider PSIRPs. • Agree provider PSIRPs. • Oversee and support effectiveness of systems to achieve improvement following patient safety incidents. • Support co-ordination of cross system learning responses. • Share insights and information across organisations/services to improve safety.
ICB Quality Assurance Team	Co-ordinate the ICB's oversight responsibilities.
ICB Chief Nurse and ICB Medical Director	Provide executive sponsorship for the implementation of PSIRF across Nottingham and Nottinghamshire.

14. Communication, Monitoring and Review

- 14.1. The policy document is applicable to all staff in the ICB although not directly instrumental to all roles. When ratified it will be communicated to all staff and stored on the intranet for reference. It will be shared on the ICB internet / public facing website in line with the PSIRF requirements.
- 14.2. The policy will be monitored through the existing PSIRF Implementation Group for its first year, with highlight and exception reporting through the ICB's Quality and People Committee.
- 14.3. Any individual who has queries regarding the content of this policy or has difficulty understanding how this policy relates to their role, should contact the document author.

15. Staff Training

- 15.1. Training relevant to the oversight roles and responsibilities is described in Section 9.5 above.

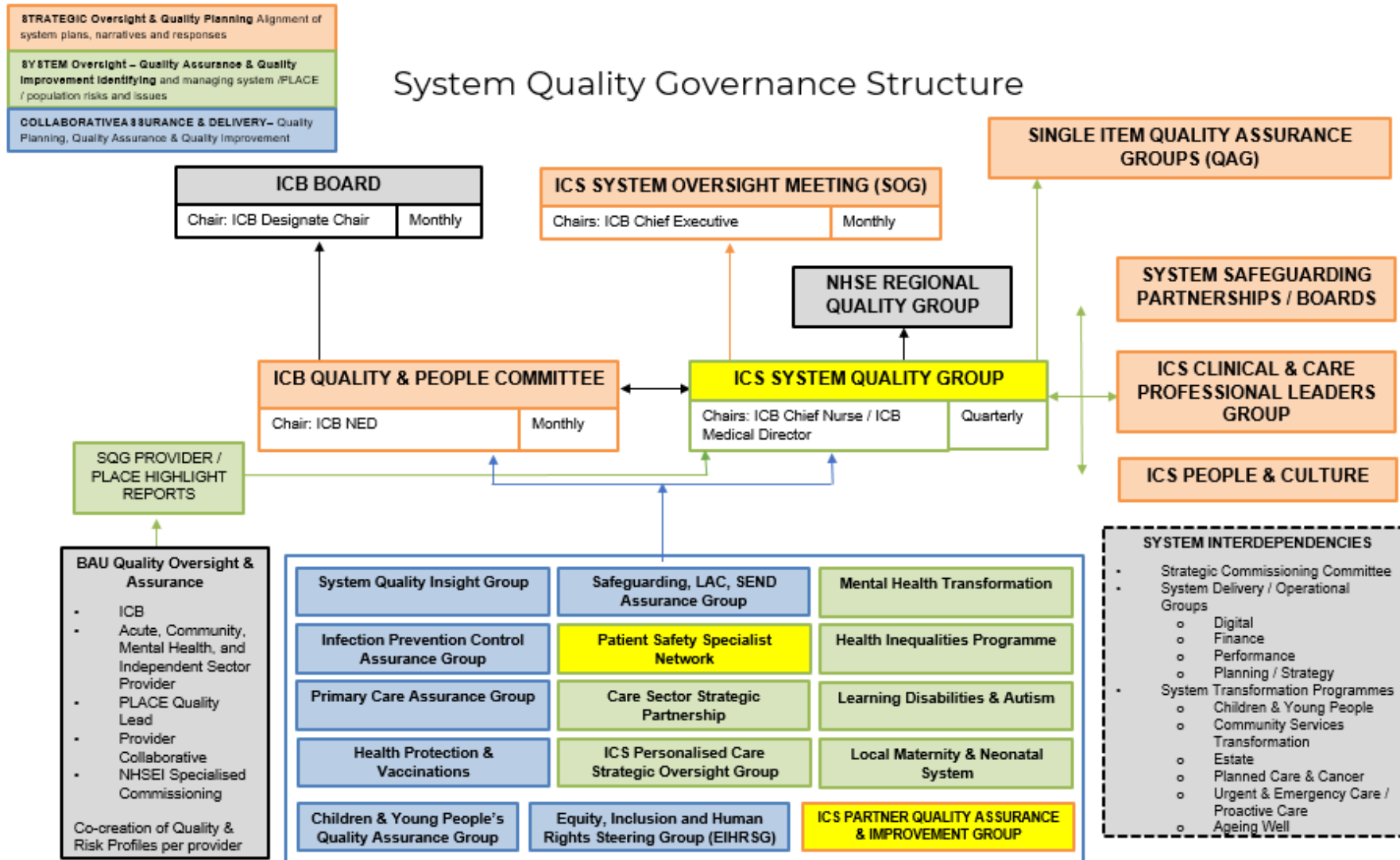
16. Equality and Diversity Statement

- 16.1. NHS Nottingham and Nottinghamshire ICB pays due regard to the requirements of the Public Sector Equality Duty (PSED) of the Equality Act 2010 in policy development and implementation, as a commissioner and provider of services, as well as an employer.
- 16.2. The ICB is committed to ensuring that the way we provide services to the public and the experiences of our staff does not discriminate against any individuals or groups on the basis of their age, disability, gender identity (trans, non-binary) marriage or civil partnership status, pregnancy or maternity, race, religion or belief, gender or sexual orientation.
- 16.3. We are committed to ensuring that our activities also consider the disadvantages that some people in our diverse population experience when accessing health services. Such disadvantaged groups include people experiencing economic and social deprivation, carers, refugees and asylum seekers, people who are homeless, workers in stigmatised occupations, people who are geographically isolated, gypsies, Roma and travellers.
- 16.4. As an employer, we are committed to promoting equality of opportunity in recruitment, training and career progression and to valuing and increasing diversity within our workforce.
- 16.5. To help ensure that these commitments are embedded in our day-to-day working practices, an Equality Impact Assessment has been completed for, and is attached to, this policy.

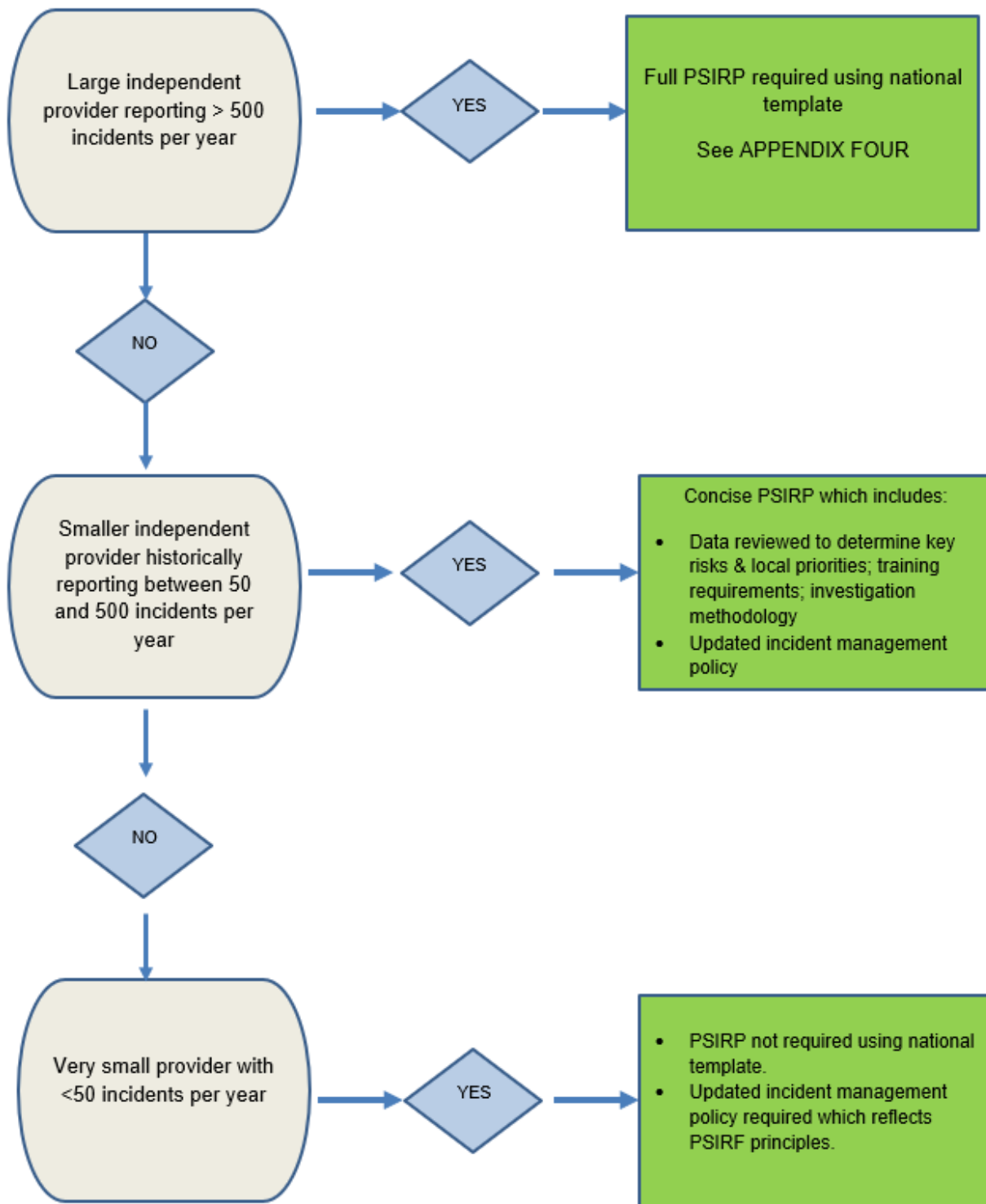
17. Interaction with other Policies

- 17.1. The relevant national policy documents which support this policy are linked in the footnotes to this document as references.

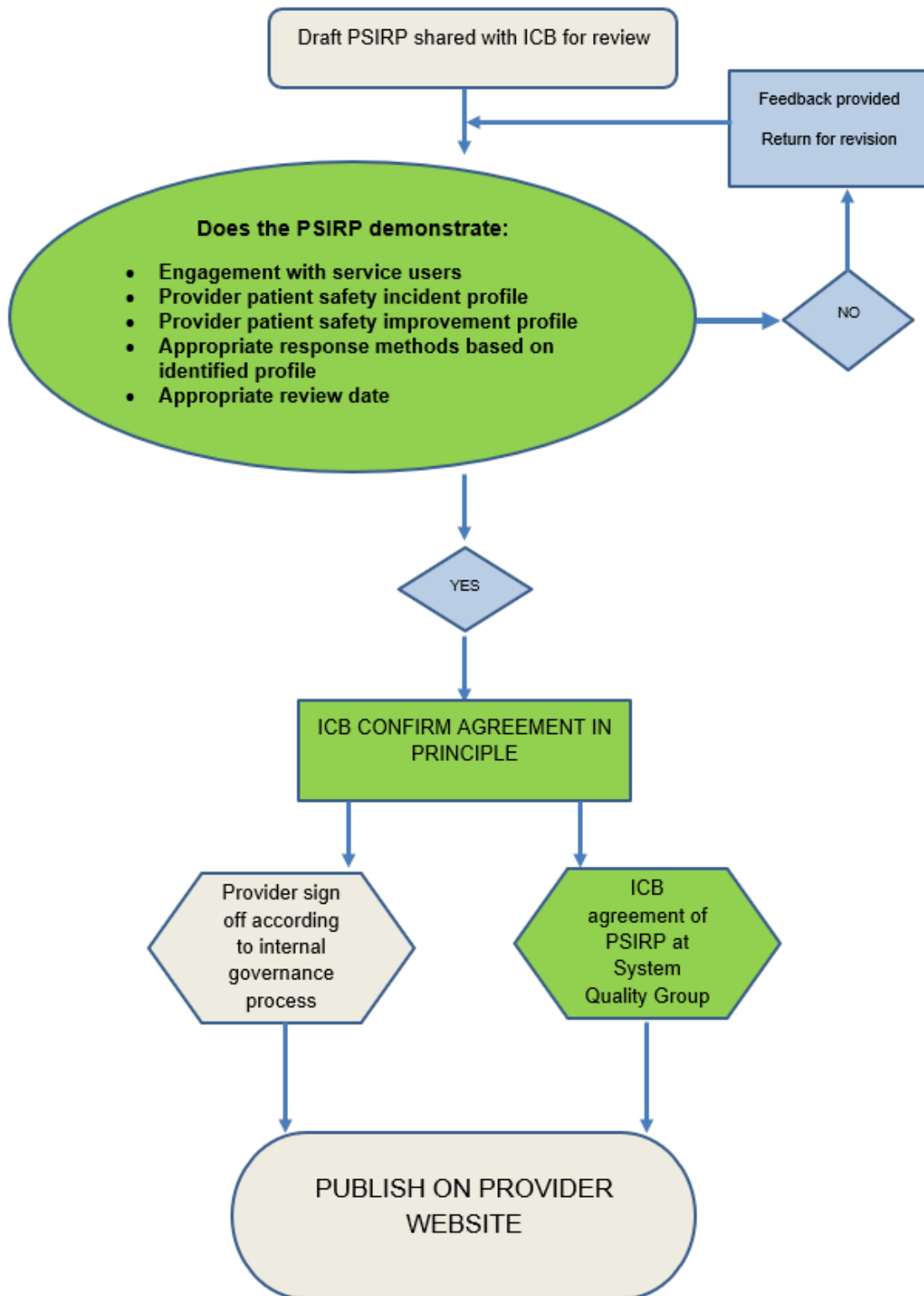
Appendix 1 – System Quality Governance Structure



Appendix 2 – Small and Independent Provider PSIRF process map



Appendix 3 – NNICB PSIRP sign off process



Appendix 4: Equality Impact Assessment

Overall Impact on: Equality, Inclusion and Human Rights [Select one option]	Positive <input type="checkbox"/> Neutral <input checked="" type="checkbox"/> Negative <input type="checkbox"/> Undetermined <input type="checkbox"/>
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Name of Policy, Process, Strategy or Service Change	Nottingham and Nottinghamshire ICB Patient Safety Incident Response Policy
Date of Completion	October 2023
EIA Responsible Person Include name, job role and contact details.	Penny Cole, Assistant Director of Quality & Safety, NNICB Email: penny.cole@nhs.net
EIA Group Include the name and position of all members of the EIA Group.	
Wider Consultation Undertaken State who, outside of the project team, has been consulted around the EIA.	Staff Engagement Group NNICB EDI Lead
Summary of Evidence Provide an overview of any evidence (both internal and external) that you utilised to formulate the EIA. E.g., other policies, Acts, patient feedback, etc.	Equality Act 2010 NNICB Policy for Development and Management of Policy Documents NHSE National Patient Safety Framework template documents

<p>For the policy, process, strategy or service change, and its implementation, please answer the following questions against each of the Protected Characteristics, Human Rights and health groups:</p>	<p>What are the actual, expected or potential positive impacts of the policy, process, strategy or service change?</p>	<p>What are the actual, expected or potential negative impacts of the policy, process, strategy or service change?</p>	<p>What actions have been taken to address the actual or potential positive and negative impacts of the policy, process, strategy or service change?</p>	<p>What, if any, additional actions should be considered to ensure the policy, process, strategy or service change is as inclusive as possible? Include the name and contact details of the person responsible for the actions.</p>	<p>Impact Score</p>
<p>Age</p>	<p>The policy is universal to all patient groups and providers of NHS commissioned services.</p>	<p>No negative impacts are anticipated from the introduction of this policy.</p>	<p>None.</p>	<p>See narrative section below.</p>	<p>3</p>
<p>Disability¹ (Including: mental, physical, learning, intellectual and neurodivergent)</p>	<p>The policy is universal to all patient groups and providers of NHS commissioned services.</p>	<p>The ICB should ensure that a version of the Policy is produced to allow screen readers and dictation software to read the document more easily.</p> <p>Screen readers find tables difficult to read, making the document less accessible to people who use this or similar technology</p>	<p>NHS Nottingham and Nottinghamshire ICB's policies can be made available, on request, in a range of languages and formats from our Engagement and Communication Team via nnicb-nn.comms@nhs.net</p>	<p>Mechanisms are in place via the Communications and Engagement Team to receive the policy in a range of languages, large print, Braille, audio, electronic and other accessible formats.</p> <p>See narrative section below.</p>	<p>3</p>
<p>Gender² (Including: trans, non-binary and gender reassignment)</p>	<p>The policy is universal to all patient groups and providers of NHS commissioned services.</p>	<p>No negative impacts are anticipated from the introduction of this policy</p>		<p>See narrative section below.</p>	<p>3</p>

Marriage and Civil Partnership	The policy is universal to all patient groups and providers of NHS commissioned services.	No negative impacts are anticipated from the introduction of this policy		See narrative section below.	3
Pregnancy and Maternity Status	The policy is universal to all patient groups and providers of NHS commissioned services.	No negative impacts are anticipated from the introduction of this policy		See narrative section below.	3
Race³	The policy is universal to all patient groups and providers of NHS commissioned services.	Access to the policy for those without English as first language.	NHS Nottingham and Nottinghamshire ICB's policies can be made available, on request, in a range of languages and formats from our Engagement and Communication Team via nnicb-nn.comms@nhs.net	See narrative section below.	3
Religion and Belief⁴	The policy is universal to all patient groups and providers of NHS commissioned services.	No negative impacts are anticipated from the introduction of this policy		See narrative section below.	3

Sex⁵	The policy is universal to all patient groups and providers of NHS commissioned services. As a new policy, an evaluation period is required to measure actual impacts, therefore this is assessed as neutral until the policy is updated.	No negative impacts are anticipated from the introduction of this policy		See narrative section below.	3
Sexual Orientation⁶	The policy is universal to all patient groups and providers of NHS commissioned services.	No negative impacts are anticipated from the introduction of this policy		See narrative section below.	3
Human Rights⁷	The policy is universal to all patient groups and providers of NHS commissioned services.	No negative impacts are anticipated from the introduction of this policy		See narrative section below.	3
Community Cohesion and Social Inclusion⁸	The policy is universal to all patient groups and providers of NHS commissioned services.	No negative impacts are anticipated from the introduction of this policy		See narrative section below.	3

Safeguarding⁹ (Including: adults, children, Looked After Children and adults at risk or who lack capacity)	The policy is universal to all patient groups and providers of NHS commissioned services.	No negative impacts are anticipated from the introduction of this policy		See narrative section below.	3
Other Groups at Risk¹⁰ of Stigmatisation, Discrimination or Disadvantage	The policy is universal to all patient groups and providers of NHS commissioned services.	No negative impacts are anticipated from the introduction of this policy		See narrative section below.	3

<h2>Additional Narrative</h2> <p>Provide additional evidence and narrative about the positive, negative, and neutral impacts of the proposal on the equality, inclusion and human rights elements detailed above.</p> <p>You should consider:</p> <ul style="list-style-type: none"> • Three elements of Quality (safety, experience and effectiveness) • Intersectionality • Impact of COVID-19 • Access to Services <ul style="list-style-type: none"> ○ Physical ○ Written communication ○ Verbal communication • Digital Poverty • Safeguarding • Dignity and Respect • Person-centred Care 	<p>Here you should add additional detail or explanation around the positive, negative, and neutral impact of the proposals on the above protected characteristic and health inclusion groups. To address this, you should consider the barriers to accessing or using the service, including the mitigations to respond to these.</p> <p>The Patient Safety Incident Response Framework (PSIRF) which underpins this policy is a requirement of the NHS Standard Contract from April 2024; as such it applies to all NHS funded services with the exception at the time of writing of primary care services.</p> <p>There are existing routes for the monitoring and assurance of contracts and each provider of NHS services will have their own Incident Response Plan in accordance with PSIRF requirements.</p> <p>The ICB Patient Safety Incident Response Policy is primarily a governance document which does not include or exclude any specific group of patients or employees, based on protected or other characteristics.</p> <p>The principles of justice, fairness, inclusion and involvement are embedded in the national patient safety strategy which underpins PSIRF, and which is reflected in the ICS Joint Forward Plan.</p> <p>PSIRF includes a specific focus on the engagement and involvement of affected individuals, their families and care givers, and the support and challenge of independent advocates in the role of Patient Safety Partners.</p>	4
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				<p>Any investigation which takes place under this policy will include the patient and their family in the agreement of key lines of enquiry; thus each incident investigation will be bespoke to the person or persons affected.</p> <p>The ICB acknowledges the needs of the diverse population it serves and is committed to improving equality of access to health services and health outcomes.</p> <p>The commitments in this policy cover all the protected characteristic groups defined by the Equality Act 2010 and other disadvantaged groups.</p>	
Positive Impact	Neutral Impact	Negative Impact	Undetermined Impact	Equality Impact Score Total	43
56 to 50	49 to 36	35 to 22	21 to 14		

Positive	Neutral	Negative	Undetermined
4	3	2	1

1. **Disability** refers to anyone who has: "...a physical or mental impairment that has a 'substantial' and 'long-term' negative effect on your ability to do normal daily activities..." (Equality Act 2010 definition). This includes, but is not limited to: mental health conditions, learning disabilities, intellectual disabilities, neurodivergent conditions (such as dyslexia, dyspraxia and dyscalculia), autism, many physical conditions (including HIV, AIDS and cancer), and communication difficulties (including d/Deaf and blind people).
2. **Gender**, in terms of a Protected Characteristic within the Equality Act 2010, refers to: "A person has the protected characteristic of gender reassignment if the person is proposing to undergo, is undergoing or has undergone a process (or part of a process) for the purpose of reassigning the person's sex by changing physiological or other attributes of sex."
3. **Race**, in terms of a Protected Characteristic within the Equality Act 2010, refers to: A person's colour, nationality, or ethnic or national origins. This also includes people whose first spoken language is not English, and/or those who have a limited understanding of written and spoken English due to English not being their first language.
4. **Religion and Belief**, in terms of a Protected Characteristic within the Equality Act 2010, refers to: Religion means any religion and a reference to religion includes a reference to a lack of religion. Belief means any religious or philosophical belief and a reference to belief includes a reference to a lack of belief.

5. **Sex**, in terms of a Protected Characteristic within the Equality Act 2010, refers to: A reference to a person who has a particular protected characteristic and is a reference to a man or to a woman.

6. **Sexual Orientation**, in terms of a Protected Characteristic within the Equality Act 2010, refers to: Sexual orientation means a person's sexual orientation towards persons of the same sex, persons of the opposite sex or persons of either sex.

7. The **Human Rights Act 1998** sets out the fundamental areas that everyone and every organisation must adhere to. In relation to health and care, the most commonly applicable of the Articles within the Human Rights Act 1998 include: Article 2 Right to Life, Article 5 Right to Liberty and Security, Article 8 Right to Respect of Private and Family Life, and Article 9 Freedom of Thought, Conscience and Religion.

8. **Community Cohesion** is having a shared sense of belonging for all groups in society. It relies on criteria such as: the presence of a shared vision, inclusion of those with diverse backgrounds, equal opportunity, and supportive relationships between individuals. **Social Inclusion** is defined as the process of improving the terms of participation in society, particularly for people who are disadvantaged, through enhancing opportunities, access to resources, voice and respect for rights (United Nations definition). For the EQIA process, we should note any positive or negative impacts on certain groups being excluded or not included within a community or societal area. For example, people who are homeless, those from different socioeconomic groups, people of colour or those from certain age groups.

9. **Safeguarding** means: "...protecting a citizen's health, wellbeing and human rights; enabling them to live free from harm, abuse and neglect. It is an integral part of providing high-quality health care. Safeguarding children, young people and adults is a collective responsibility" (NHS England definition). Those most in need of protection are children, looked after children, and adults at risk (such as those receiving care, those under a DoLS or LPS Order, and those with a mental, intellectual or physical disability). In addition to the ten types of abuse set out in the Health and Care Act 2022, this section of the EQIA should also consider PREVENT, radicalisation and counterterrorism.

10. **Other Groups** refers to anyone else that could be positively or negatively impacted by the policy, process, strategy or service change. This could include, but is not limited to: carers, refugees and asylum seekers, people who are homeless, gypsy, Roma and traveller communities, people living with an addiction (e.g., alcohol, drugs or gambling), people experiencing social or economic deprivation, and people in stigmatised occupations (e.g., sex workers).