



**Nottingham and  
Nottinghamshire**  
Integrated Care Board

# **Annex B: Scheme of Reservation and Delegation**

<b>Version</b>	<b>Effective Date</b>	<b>Changes</b>
1.0	1 July 2022	First version Scheme of Reservation and Delegation on establishment of the ICB.
1.1	1 April 2023	Minor changes made to the wording in sections 2.48, 5.1, 5.2, 5.3 and 5.5  Amendments to reflect: <ul style="list-style-type: none"> <li>• Delegation of Primary and Prescribed Dental Services, Primary Ophthalmic Services, and Pharmaceutical Services to the ICB from NHS England.</li> <li>• Establishment of joint working arrangements across the five East Midlands ICBs, and with NHS England.</li> </ul>
1.2	24 July 2023 and 3 August 2023	Dis-establishment of Human Resources Sub-Committee and Primary Medical Services Contracting Sub-Committee.
1.3	11 January 2024	Amendments to address requirements of the NHS Provider Selection Regime.
1.4	1 April 2024	Amendments to reflect: <ul style="list-style-type: none"> <li>• Delegation of 59 Specialised Acute Services to the ICB from NHS England.</li> <li>• Amendment of joint working arrangements across the five East Midlands ICBs.</li> </ul>
1.5	1 October 2024	Amendments to reflect new Board composition and revised executive portfolios.  Change in name for Remuneration and Human Resources Committee.  Arrangements for signature of contracts added.
1.6	9 January 2025	Amendments to reflect updated Standing Financial Instructions.

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# 1. Introduction

## 1.1 General

- 1.1.1 The NHS Act 2006, as amended by the Health and Social Care Act 2012 and the Health and Care Act 2022 (“**the 2006 Act**”) sets out the statutory framework in which the Integrated Care Board (“**the ICB**”) operates. The ICB’s statutory powers, functions and duties are conferred, in the main, by the 2006 Act; however, additional responsibilities for other functions may be conferred through delegation to the ICB from other bodies (such as NHS England or other ICBs).
- 1.1.2 The Board can delegate functions and decisions to a committee or sub-committee of the Board. The committees and sub-committees established for this purpose are:
- (a) Audit and Risk Committee
  - (b) Remuneration and Human Resources Committee
  - (c) Quality and People Committee
  - (d) Finance and Performance Committee
  - (e) Strategic Planning and Integration Committee
  - (f) Auditor Panel
  - (g) Non-Executive Director Remuneration Panel
- 1.1.3 The Board can also delegate functions and decisions to an individual member of the Board or an employee.
- 1.1.4 ICBs can agree with certain other statutory organisations (such as NHS trusts/foundation trusts and local authorities) that they will exercise their functions on behalf of the ICB or jointly with the ICB. This power is governed by secondary legislation and by NHS England statutory guidance.
- 1.1.5 The default arrangement is that functions will be exercised by the ICB unless they are explicitly delegated.
- 1.1.6 The ICB, regardless of any delegation arrangements it has made, remains legally accountable for the exercise of its functions.
- 1.1.7 This Scheme of Reservation and Delegation sets out for NHS Nottingham and Nottinghamshire ICB which functions, duties and powers (including those delegated to it by other bodies) are:
- (a) Reserved to the Board, so that only the Board may make these decisions.
  - (b) Delegated to committees and sub-committees that have been established by the Board.

- (c) Delegated to individuals (Board members or ICB employees).
  - (d) Delegated to other statutory bodies using the ICB's legal powers (under sections 65Z5, 65Z6 and 75 of the 2006 Act) to delegate functions to one or more other organisations or to a joint committee with one or more other organisations.
- 1.1.8 In line with the ICB's Standing Orders, the powers that are reserved or delegated to the Board, may for an urgent decision be exercised by the Chair and Chief Executive subject to every effort having made to consult with as many members of the Board as possible in the given circumstances.
- 1.1.9 Where authority is delegated to executive members of the Board, they may, in certain circumstances, decide to further delegate the authority. The ICB's lead for governance shall be notified in writing in all instances where authority is further delegated.
- 1.1.10 Should any difficulties arise regarding the interpretation or application of any of the Scheme of Reservation and Delegation then the advice of the ICB's lead for governance must be sought before acting. The users of this Scheme of Reservation and Delegation should also be familiar with and comply with the provisions of the ICB's Constitution, Standing Orders and Standing Financial Instructions.

## **1.2 Non-compliance with Scheme of Reservation and Delegation**

- 1.2.1 All members of the Board, its committees and sub-committees, and the ICB's employees and other workers are required to comply with this Scheme of Reservation and Delegation.
- 1.2.2 Failure to comply with this Scheme of Reservation and Delegation may be regarded as a disciplinary matter that could result in dismissal.
- 1.2.3 If for any reason this Scheme of Reservation and Delegation is not complied with, full details of the non-compliance and any justification for non-compliance and the circumstances around the non-compliance shall be reported to the next formal meeting of the Audit and Risk Committee. All individuals as defined at 1.2.1 have a duty to disclose any non-compliance with this Scheme of Reservation and Delegation to the Chief Executive as soon as possible. If the Chief Executive is responsible for the non-compliance, then this should instead be reported to the ICB's lead for governance.

### **1.3 Review and amendment of Scheme of Reservation and Delegation**

- 1.3.1 To ensure that this Scheme of Reservation and Delegation remain up-to-date and relevant, the ICB's lead for governance will ensure that it reflects any variations made to the ICB's Constitution, Standing Orders and Standing Financial Instructions, and any delegations made by the Board, on an ongoing basis and at least annually to ensure it continues to support effective decision-making.
- 1.3.2 The Board will approve all amendments to the Scheme of Reservation and Delegation.

## 2. Matters reserved by the Board and delegated by the Board to its committees and sub-committees

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
2.1	Review of all instances of non-compliance with Standing Orders.	Audit and Risk Committee	-	SO 3.1.6
2.2	Review of all decisions made by the Chair and Chief Executive on behalf of the Board under emergency powers.	Audit and Risk Committee	-	SO 4.9.6
2.3	Review of all instances where Standing Orders are suspended.	Audit and Risk Committee	-	SO 5.1.3
2.4	Review of all instances of non-compliance with Standing Financial Instructions.	Audit and Risk Committee	-	SFI 1.2.2
2.5	Approve arrangements for the provision of internal audit services.	Audit and Risk Committee	Arrangements to be proposed by the Director of Finance who will commission the procurement of internal audit services.	SFI 3.1.2 and 3.1.3
2.6	Approve arrangements for the provision of counter fraud, bribery and corruption services.	Audit and Risk Committee	The Director of Finance will commission the procurement of counter fraud, bribery and corruption services.	SFI 4.1.3
2.7	Approve the use of procurement or other card services by the ICB, including:	Audit and Risk Committee	See SoRD ref 5.9 for delegated financial limits.	SFI 6.2.1

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
	<ul style="list-style-type: none"> <li>The types of card services that should be allowed on each account (debit, procurement, etc.).</li> <li>The types of transactions that should be permitted on each card.</li> <li>The individuals who should be issued with a card.</li> <li>The overall credit and individual transaction limits to be associated with each card.</li> </ul>			
2.8	<p>Review all instances where:</p> <ul style="list-style-type: none"> <li>Provider representations have been received in relation to procurement and contract award decisions for healthcare services.</li> <li>Competitive tendering requirements have been waived for non-healthcare services.</li> </ul>	Audit and Risk Committee	-	SFI 9.2.2 and 9.2.4
2.9	Approve all write-offs arising from losses.	Audit and Risk Committee	-	SFI 12.4.3
2.10	Approve the Annual Report and Accounts.	Audit and Risk Committee	To be prepared in line with legal requirements and any directions issued by NHS England. The Annual Report and Accounts must be published.	SFI 13.3.1 and 13.3.2
2.11	Approve the Policy for the Development and Management of Policy Documents (Policy on Policies).	Audit and Risk Committee	-	-
2.12	Approve the Statutory and Mandatory Training Policy.	Audit and Risk Committee	-	-



Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
2.13	Approve Fraud, Bribery and Corruption Policy.	Audit and Risk Committee	-	-
2.14	Approve the ICB's information governance policies, including (but not limited to): <ul style="list-style-type: none"> <li>• Account Management and Access Policy.</li> <li>• Acceptable Use of the Network Policy.</li> <li>• Confidentiality and Data Protection Policy.</li> <li>• Data Quality Policy.</li> <li>• Freedom of Information (FOI) and Environmental Information Regulations (EIR) Policy.</li> <li>• Information Governance Management Framework.</li> <li>• Information Security Policy.</li> <li>• Internet and Email Policy.</li> <li>• Records Management Policy.</li> <li>• Removable Media Policy.</li> </ul>	Audit and Risk Committee	-	-
2.15	Approve to ICB's health and safety policies, including (but not limited to): <ul style="list-style-type: none"> <li>• Display Screen Equipment Use Policy.</li> <li>• Fire Safety Policy.</li> <li>• Health, Safety and Security Policy.</li> </ul>	Audit and Risk Committee	-	-
2.16	Approve Incident Reporting and Management Policy.	Audit and Risk Committee	-	-

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
2.17	Approve Emergency Preparedness, Resilience and Response (EPRR) Policy.	Audit and Risk Committee	-	-
2.18	Review of all instances of non-compliance with Scheme of Reservation and Delegation.	Audit and Risk Committee	-	SoRD 1.2.3
2.19	Endorse applications to NHS England to vary the ICB's Constitution.	Board	Formal approval required by NHS England before variations can be implemented.	Constitution, paragraph 1.6.2
2.20	Endorse applications to NHS England to vary the ICB's Standing Orders.	Board	Formal approval required by NHS England before variations can be implemented.	Constitution, paragraph 1.6.2 and SO 2.1.3
2.21	Approve the Standing Financial Instructions.	Board	Following review and scrutiny by the Audit and Risk Committee	Constitution, section 1.7 and SFI 1.3.2
2.22	Approve the Scheme of Reservation and Delegation.	Board	Amendments to the SoRD to be proposed by the Chair or Chief Executive.	Constitution, paragraph 4.4.2
2.23	Review the skills, knowledge and experience of Board members (when taken together) to ensure the Board can effectively carry out its functions.	Board	-	Constitution, paragraph 2.2.5

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
2.24	Appoint committees of the Board, including agreement of their terms of reference.	Board	See also 3.8 of this SoRD regarding the appointment of individuals as members of committees of the Board that exercise ICB commissioning functions.	Constitution, paragraph 4.6.1
2.25	Appoint sub-committees of the Board, including agreement of their terms of reference.	Board or Committees of the Board, if empowered to do so by the Board	Any powers to established sub-committees will be included within the relevant committees' terms of reference. See also 3.8 of this SoRD regarding the appointment of individuals as members of sub-committees of the Board that exercise ICB commissioning functions.	Constitution, paragraph 4.6.1
2.26	Set the vision and values for the ICB.	Board	-	-
2.27	Approve arrangements for ICB functions to be exercised by or jointly with any one or more other body as defined by the 2006 Act (another ICB, an NHS trust, an NHS foundation trust, NHS England, a local authority, combined authority or any other prescribed body) under section 65Z5 of the NHS Act 2006 (as amended).	Board	To include establishment of: <ul style="list-style-type: none"> <li>Joint committees to exercise the delegated functions; and/or</li> <li>Pooled funds to fund the delegated functions (section 65Z6)</li> </ul>	Constitution, paragraph 4.3.2, 4.3.3 and 4.7.3

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
			Delegations will be subject to regulations.	
2.28	Approve arrangements for the ICB to enter into partnership arrangements with a local authority under section 75 of the 2006 Act (as amended), under which the local authority exercises specified ICB functions or the ICB exercises specified local authority functions.	Board	To include the establishment of pooled funds.	Constitution, paragraph 4.3.2 and 4.3.3
2.29	Approve the Standards of Business Conduct Policy, which incorporates the policy and procedures for the identification and management of conflicts of interest.	Board	-	Constitution, paragraph 6.1.2
2.30	Appoint the Conflicts of Interest Guardian.	Board	To be a Non-Executive member.	Constitution, paragraph 6.1.6
2.31	Determine remuneration and allowances (including pension schemes) and terms and conditions for employees.	Board	Satisfied via approval of SFIs, which stipulate that the NHS Agenda for Change terms and conditions of service will apply in full to all staff directly employed by the ICB, except for Executive Directors and other very senior managers.	Constitution, paragraph 8.1.1 and SFI 8.3.2
2.32	Approve the Policy for Public Involvement and Engagement.	Board	-	Constitution, paragraph 9.1.5(c)

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
2.33	Appoint the external auditor.	Board	The Auditor Panel will advise the Board on the selection and appointment process in line with the Local audit and Accountability Act 2014. The Director of Finance will commission the procurement of external audit services.	SFI 3.2.1 and 3.2.2
2.34	Approve the joint forward plan (and any revised plans) setting out how the ICB will exercise its functions over the next five years.	Board	Plans must be prepared with the ICB's partner NHS trusts and NHS foundation trusts in line with any directions or guidance issued by NHS England. Plans must be published and provided to the Integrated Care Partnership, each relevant Health and Wellbeing Board and NHS England.	SFI 5.2.1 and 5.2.3
2.35	Approve the joint capital resource use plan (and any revised plans).	Board	Plans must be prepared with the ICB's partner NHS trusts and NHS foundation trusts in line with any directions or guidance issued by NHS England.	SFI 5.2.2 and 5.2.3

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
			Plans must be published and provided to the Integrated Care Partnership, each relevant Health and Wellbeing Board and NHS England.	
2.36	Approve the annual budgets.	Board	The annual budgets will be prepared within the limits of available funds and will identify any sums to be held in reserve and any potential risks.	SFI 5.3.1
2.37	Approve the Risk Management Policy.	Board	-	-
2.38	Approve the Equality, Diversity and Inclusion (EDI) Policy.	Board	-	-
2.39	Appoint Wellbeing Guardian.	Board	To be a Non-Executive member.	-
2.40	Appoint Non-Executive Lead for Freedom to Speak Up.	Board	To be a Non-Executive member.	-
2.41	Appoint Non-Executive Lead for EPRR.	Board	To be a Non-Executive member.	-
2.42	Approve the Freedom to Speak Up Policy.	Board	-	-
2.43	Approve ICB capital investments.	Finance and Performance Committee	-	SFI 10.1.4

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
2.44	Approve the estates plan for the GP practices within the ICB's area.	Finance and Performance Committee	In line with the Primary Medical Services Delegation Agreement	-
2.45	Make decisions in relation to the Premises Costs Directions Functions.	Finance and Performance Committee	In line with the Primary Medical Services Delegation Agreement	-
2.46	Approve ICB headquarters estate and lease arrangements	Finance and Performance Committee	-	-
2.47	Approve the ICB's human resources policies, including (but not limited to): <ul style="list-style-type: none"> <li>• Acceptable Behaviours Policy.</li> <li>• Agency Workers Policy.</li> <li>• Capability Policy.</li> <li>• Change Management Policy.</li> <li>• Disciplinary Policy.</li> <li>• Domestic Violence and Abuse Policy.</li> <li>• Employment Breaks Policy.</li> <li>• Family Leave Policy.</li> <li>• Flexible Working Policy.</li> <li>• Grievance Policy.</li> <li>• Learning, Education and Development Policy.</li> </ul>	Human Resources Steering Group	-	-

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
	<ul style="list-style-type: none"> <li>• Leave Policy.</li> <li>• Long Service Award Policy.</li> <li>• Pay Progression Policy.</li> <li>• Professional Registration Policy.</li> <li>• Recruitment and Selection Policy.</li> <li>• Regrading Policy.</li> <li>• Sickness Absence Policy.</li> <li>• Travel and Expenses Policy.</li> </ul>			
2.48	Make decisions in relation to Individual Funding Requests	Individual Funding Request Panel	In line with the ICB's Individual Funding Request Policy	-
2.49	Approve policies, procedures and position statements regarding prescribing and medicines management issues and pharmacy development in primary care.	Nottingham and Nottinghamshire Medicines Optimisation Group	-	-
2.50	Formulate and agree a stance or consensus on health community wide prescribing and medicines management issues.	Nottingham and Nottinghamshire Medicines Optimisation Group	-	-
2.51	Make decisions in relation to mental health and learning disability funding requests	Mental Health and Learning Disability Specialist	Terms of reference for the panel are approved by the Strategic Planning and Integration Committee	-



Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
		Treatment/Funding Panel		
2.52	Approve the remuneration, allowances and terms of appointment for Non-Executive members of the Board.	Non-Executive Director Remuneration Panel	The Chair's remuneration will be approved by NHS England.	Constitution, paragraph 3.16.1 and 3.16.3
2.53	Approve the Complaints and Enquiries Policy.	Quality and People Committee	-	-
2.54	Approve the ICB's safeguarding policies, including (but not limited to): <ul style="list-style-type: none"> <li>• Mental Capacity Act 2005 Policy.</li> <li>• Safeguarding Children and Adults Policy.</li> <li>• Safeguarding Policy (inc. PREVENT and Safeguarding Training and Supervision Strategy).</li> </ul>	Quality and People Committee	-	-
2.55	Approve the Patient Safety Incident Response Policy.	Quality and People Committee	-	-
2.56	Approve the remuneration, allowances and terms of appointment for: <ul style="list-style-type: none"> <li>• Members of the Board, except for the Chair and Non-Executive members.</li> <li>• Any members of the Board's committees and sub-committees that are not members of the Board or employees.</li> <li>• Other very senior managers.</li> <li>• Individuals engaged on a contract for service.</li> </ul>	Remuneration and Human Resources Committee	-	Constitution, paragraph 3.16.1, 3.16.2 and 8.1.2 and SFI 8.1.1

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
2.57	Approve exit payments, other than special severance payments.	Remuneration and Human Resources Committee	In line with national guidance and seeking HM Treasury pre-approval if required	-
2.58	Approve the Procurement and Provider Selection Policy.	Strategic Planning and Integration Committee	-	-
2.59	Approve the ICB's commissioning policies, including (but not limited to): <ul style="list-style-type: none"> <li>• After Care (Section 117) Policy.</li> <li>• Children and Young People Continuing Care Commissioning Policy.</li> <li>• Continuing Healthcare and Joint Packages of Care (Adults) Commissioning Policy.</li> <li>• Health Care Contributions to Adult Care Packages Commissioning Policy.</li> <li>• Individual Funding Requests Policy.</li> <li>• Personal Health Budgets and Integrated Personal Budgets Policy.</li> <li>• Value Based Commissioning Policy.</li> <li>• Policies relating to tertiary infertility (IVF/ICSI), secondary infertility (IUI/DI), surrogacy and gamete cryopreservation.</li> </ul>	Strategic Planning and Integration Committee	-	-

## Primary Medical Services: Operational Scheme of Delegation

The ICB has established the following Operational Scheme of Delegation to define arrangements for discharging the Primary Medical Services delegated functions as set out within the NHS England Delegation Agreement:

No.	Reserved/delegated matter	Reserved by/ Delegated to	Additional information
<b>Primary Medical Services Commissioning and Contract Management</b>			
2.60	Oversee arrangements for the commissioning and management of Primary Medical Services for the population served by the ICB, including arrangements for planning, undertaking reviews of Primary Medical Services in the Area, carrying out needs assessments, and identifying and implementing changes to meet any unmet needs that can be met through the delivery of Primary Medical Services.	Strategic Planning and Integration Committee	This will be satisfied via regular assurance reports to the Strategic Planning and Integration Committee setting out the work of the Primary Medical Services Contracts Panel.
2.61	<p>Operationally steer the management of Primary Medical Services Contracts, making operational contract management decisions as may be required, including:</p> <ul style="list-style-type: none"> <li>• Reviewing the performance of Primary Medical Services Contracts, including in respect of quality standards, incentives and the QOF, observance of service specifications, and monitoring of activity and finance (ensuring that value for money is obtained and double payments avoided).</li> <li>• Assessing quality and outcomes (including clinical effectiveness, patient experience, patient safety and addressing inequalities).</li> <li>• Managing variations to Primary Medical Services Contracts or services in accordance with national policy, service user needs and clinical developments.</li> <li>• Agreeing information and reporting requirements and managing information breaches (which will include use of the NHS Digital Data Security and Protection Toolkit).</li> <li>• Agreeing local prices, managing agreements or proposals for local variations and local modifications.</li> <li>• Conducting review meetings and undertaking contract management including the issuing of contract queries and agreeing any remedial action plan or related contract management processes.</li> <li>• Complying with and implementing any relevant Mandated Guidance issued from time to time.</li> </ul>	Primary Medical Services Contracts Panel	-
<b>Enhanced Services and Local Incentive Schemes</b>			

No.	Reserved/delegated matter	Reserved by/ Delegated to	Additional information
2.62	Make decisions in relation to Enhanced Services, the Primary Care Network (PCN) Contract Directed Enhanced Services, and any Local Incentive Schemes.	Any matters with an annual financial value up to £500,000 – Primary Medical Services Contracts Panel. Any matters with an annual financial value of £500,001 and above – Strategic Planning and Integration Committee decision.	The ICB must comply with any Mandated Guidance in relation to the design and commissioning of Enhanced Services. The ICB may design and offer Local Incentive Schemes for Primary Medical Services Providers, sensitive to the differing needs of their particular communities. This includes in addition to or as an alternative to the national contractual frameworks (including as an alternative to QOF or Enhanced Services), provided that such schemes are voluntary, and the ICB continues to offer the national schemes.
2.63	Operationally steer the management and monitoring of the: <ul style="list-style-type: none"> <li>• Design (where applicable) and commissioning of any Enhanced Services, including re-commissioning these services annually where appropriate.</li> <li>• Design and commissioning of the PCN Contract Directed Enhanced Services, including re-commissioning these services annually where appropriate, the ICB must plan and manage the Primary Care Networks in the Area, complying with published specifications and Mandated Guidance</li> <li>• Design and implementation of any proposed new Local Incentive Scheme</li> </ul>	Primary Medical Services Contracts Panel	-
<b>Discretionary Payments or Support</b>			
2.64	Make decisions in relation to any discretionary payments or discretionary support to be made to Primary Medical Services Providers.	Any matters with an annual financial value up to £500,000 – Primary Medical	The ICB must exercise its discretion to determine the level of payment or type of support to Primary Medical Services

No.	Reserved/delegated matter	Reserved by/ Delegated to	Additional information
		Services Contracts Panel. Any matters with an annual financial value of £500,001 and above – Strategic Planning and Integration Committee decision.	Providers, in accordance with any relevant Mandated Guidance. The ICB must make these decisions in a consistent, open and transparent way.
<b>Managing the Provider Landscape</b>			
2.65	Make decisions in relation to the procurement or award of new Primary Medical Services Contracts (in accordance with any procurement protocol or Guidance issued by NHS England from time to time). <b>NOTE: This is subject to decision-making limits set out within the NHS England to ICB Delegation Agreements.</b>	Any matters with an annual financial value up to £500,000 – Primary Medical Services Contracts Panel. Any matters with an annual financial value of £500,001 and above – Strategic Planning and Integration Committee decision.	The ICB must act in accordance with relevant Mandated Guidance and procurement and contractual obligations.
2.66	Make decisions in relation to Primary Medical Services Provider mergers and Primary Medical Services Provider closures in the Area, including closures of branch surgeries.	Primary Medical Services Contracts Panel	The ICB must act in accordance with relevant Mandated Guidance and procurement and contractual obligations. This will include undertaking all necessary consultation (as appropriate and proportionate to the circumstances), including consulting with the impacted

No.	Reserved/delegated matter	Reserved by/ Delegated to	Additional information
			registered populations and the Local Medical Committee.
2.67	Make decisions in relation to dispersing the patient lists of Primary Medical Services Providers.	Primary Medical Services Contracts Panel	-
2.68	Make decisions in relation to agreeing variations to the boundaries of Primary Medical Services Providers.	Primary Medical Services Contracts Panel	-
<b>Management of Poorly Performing Primary Medical Services Providers</b>			
2.69	Oversee arrangements for managing poorly performing Primary Medical Services Providers.	Quality and People Committee	This will be satisfied via regular assurance reports to the Quality and People Committee setting out the work of the Primary Medical Services Contracts Panel.
2.70	Operationally steer the management of poorly performing Primary Medical Services Providers, including: <ul style="list-style-type: none"> <li>• Ensuring regular and effective liaison and collaboration with the CQC, ensuring that information on general practice is shared and discussed in an appropriate and timely manner.</li> <li>• Ensuring that any risks identified are managed and escalated where necessary.</li> <li>• Responding to CQC assessments of Primary Medical Services Providers where improvement is required.</li> <li>• Ensuring that quality summits take place when Primary Medical Services Providers are placed into special measures, to ensure the development and monitoring of appropriate improvement plans.</li> <li>• Taking appropriate contractual action, including (without limitation) in response to CQC findings.</li> <li>• Undertaking any investigations relating (among other things) to whistleblowing claims and infection control and considering intelligence from patient complaints.</li> </ul>	Primary Medical Services Contracts Panel	For the avoidance of doubt, this excludes any decisions in relation to the Performers List (NHS England Reserved Function).
<b>Complaints</b>			

No.	Reserved/delegated matter	Reserved by/ Delegated to	Additional information
2.71	Oversee arrangements for handling complaints made in respect of Primary Medical Services in accordance with the Complaints Regulations.	Quality and People Committee	Primary Medical Services complaints will be managed by the East Midlands Hosted Primary Care Complaints Team and associated intelligence will feed into the work of the Primary Medical Services Contracts Panel.
<b>Management of Delegated Funds</b>			
2.72	Oversee arrangements for the management of the Delegated Funds in relation to Primary Medical Services.	Finance and Performance Committee	This will be satisfied via regular assurance reports to the Quality and People Committee.
<b>Premises Costs Directions Functions</b>			
2.73	Oversee arrangements for ensuring that the primary care estate is properly managed and maintained, including by: <ul style="list-style-type: none"> <li>• Ensuring strategic estates planning is in place.</li> <li>• Working collaboratively with landlords and tenants to maximise the use of existing estate.</li> <li>• Effective asset management practices including (without limitation) regularisation of the occupation of the estate, lease events, rent reviews and up-to-date documentation management.</li> <li>• Seeking the resolution of premises disputes in a timely manner.</li> <li>• Liaising where appropriate with NHS Property Services Limited and Community Health Partnerships Limited.</li> </ul>	Finance and Performance Committee	This will be satisfied via regular assurance reports to the Finance and Performance Committee.
2.74	Make decisions in relation to the Premises Costs Directions Functions, including decisions concerning: <ul style="list-style-type: none"> <li>• Applications for new payments under the Premises Costs Directions (whether such payments are to be made by way of grants or in respect of recurring premises costs).</li> <li>• Revisions to existing payments being made under the Premises Costs Directions.</li> </ul> <p><b>NOTE: This is subject to decision-making limits set out within the NHS England to ICB Delegation Agreements.</b></p>	Value up to £15,000 – Associate Director of Estates <b>and</b> Deputy Director of Finance Value £15,001 and above – Finance and	The ICB must comply with the Premises Costs Directions.

No.	Reserved/delegated matter	Reserved by/ Delegated to	Additional information
		Performance Committee	
<b>Commissioning Urgent Care for Out of Area Registered Patients</b>			
2.75	<p>Make decisions in relation to the design and commissioning of urgent care services (including home visits as required) for its patients registered out of area (including re-commissioning these services annually where appropriate).</p> <p><b>NOTE: For the purposes of the above matter, urgent care means the provision of primary medical services on an urgent basis.</b></p>	<p>Any matters with an annual financial value up to £500,000 – Primary Medical Services Contracts Panel.</p> <p>Any matters with an annual financial value of £500,001 and above – Strategic Planning and Integration Committee decision.</p>	The ICB must ensure that it complies with any Mandated Guidance in relation to the design and commissioning of these services.
2.76	Oversee the management and monitoring of contracts for the provision of primary medical services on an urgent basis.	Primary Medical Services Contracts Panel	-
<b>Commissioning ancillary support services</b>			
2.77	<p>Make decisions in relation to the procurement and award of ancillary support services contracts, such as are required to support the effective discharge of the delegated functions relating to Primary Medical Services, including but not limited to the following:</p> <ul style="list-style-type: none"> <li>• Collection and disposal of clinical waste.</li> <li>• Provision of translation and interpretation services.</li> <li>• Occupational health services.</li> </ul> <p><b>NOTE: This is subject to decision-making limits set out within the NHS England to ICB Delegation Agreements.</b></p>	<p>Any matters with an annual financial value up to £500,000 – Primary Medical Services Contracts Panel.</p> <p>Any matters with an annual financial value of £500,001 and above – Strategic Planning</p>	The ICB must ensure that it complies with any Mandated Guidance in relation to the design and commissioning of these services.



No.	Reserved/delegated matter	Reserved by/ Delegated to	Additional information
		and Integration Committee decision.	
2.78	Oversee the management and monitoring of contracts for the provision of ancillary support services.	Primary Medical Services Contracts Panel	-

### 3. Matters delegated to individuals

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
3.1	Assign Budget Manager responsibilities.	Budget Holders	A list of designated Budget Managers is maintained by the Finance Directorate.	SFI 5.4.2
3.2	Approve variations to the funded establishment of relevant Directorate.	Budget Holders	Subject to Chief Executive agreement in the context of the ICB's total running cost allocation.	SFI 8.2.2
3.3	Approve requests for evaluations of pay bandings for new or existing posts.	Budget Holders	Budget Holders are defined as Executive Directors	SFI 8.3.4
3.4	Appoint Deputy Caldicott Guardian.	Caldicott Guardian	-	-
3.5	Ensure that at least one Board member has knowledge and experience in connection with services relating to the prevention, diagnosis and treatment of mental illness.	Chair	-	Constitution, paragraph 2.2.4
3.6	Approve the appointments, and re-appointments where relevant, of all Ordinary Members of the Board.	Chair	The Chair is appointed by NHS England, subject to approval by the Secretary of State for Health and Social Care The Chief Executive is appointed by the Chair, subject to approval by NHS England.	Constitution, paragraphs 3.5.5(c), 3.6.5(c), 3.7.5(c), 3.8.2, 3.9.3, 3.10.3, 3.11.3, 3.12.3

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
3.7	Appoint a Non-Executive member of the Board as Deputy Chair.	Chair	-	Constitution, paragraph 3.13.1
3.8	Approve the appointment of all individuals as members of committees and sub-committees of the Board that exercise ICB commissioning functions.	Chair	The Chair will not approve an individual to such a committee or sub-committee if they consider that the appointment could reasonably be regarded as undermining the independence of the health service because of the individual's involvement with the private healthcare sector or otherwise.	Constitution, paragraph 4.6.6
3.9	Decision to suspend Standing Orders.	Chair or Person presiding over a meeting of the Board	A majority of Board members present at the meeting, including at least one executive member and one non-executive member, must be in favour of suspension.	SO 5.1.1
3.10	Appoint an Executive member of the Board as Deputy Chief Executive.	Chief Executive	-	Constitution, paragraph 3.14.1

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
3.11	Execution of a document by seal.	Chief Executive or Director of Finance	-	SO 6.1.3
3.12	Execution of a document by signature.	Chief Executive or Director of Finance	Where the document is a necessary step in legal proceedings on behalf of the ICB.	SO 6.2.1
3.13	Assign Budget Holder responsibilities.	Chief Executive	Budget Holders are defined as Executive Directors A list of designated Budget Holders is maintained by the Finance Directorate	SFI 5.4.1
3.14	Decisions relating to the ICB's 'make, buy, share' operating model.	Chief Executive	-	-
3.15	Commit or spend revenue resources in relation to settling legal matters.	Chief Executive or Director of Finance	-	SFI 14.1.3
3.16	Approve all types of special payments (other than special severance payments for which the ICB has no delegated authority).	Chief Executive or Director of Finance	In line with the ICB's delegated limits, as set out in HM Treasury/NHS England guidance.	SFI 12.3.3
3.17	Appoint Accountable Emergency Officer (AEO).	Chief Executive	To be an Executive Director.	-

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
3.18	Appoint the Senior Information Risk Owner (SIRO).	Chief Executive	To be an Executive Director.	-
3.19	Appoint the Caldicott Guardian.	Chief Executive	To be an Executive Director.	-
3.20	Appoint the Data Protection Officer.	Chief Executive	-	-
3.21	Appoint Net Zero Lead.	Chief Executive	To be an Executive Director.	-
3.22	Approve the ICB's banking arrangements.	Director of Finance	-	SFI 6.1.1
3.23	Approve designated bank account signatories.	Director of Finance	A list of designated bank account signatories is maintained by the Finance Directorate.	SFI 6.1.5
3.24	Approve payment mechanisms other than by bank credit transfer.	Director of Finance	Or by officers nominated by the Director of Finance.	SFI 9.4.4
3.25	Approve of prepayments that fall outside of normal business practice (advance payments).	Director of Finance	Only permitted in exceptional circumstances.	SFI 9.5.1
3.26	Establish and maintain a register of the interests of Board members, committee and sub-committee members and employees (including individuals contracted to work on behalf of the ICB or otherwise providing services or facilities to the ICB)	Director of Nursing	The register of declared interests must be published.	Constitution, paragraph 6.3.1
3.27	Approval of requests for Competition Waivers for non-healthcare goods, services and works.	Executive Directors (including the Chief Executive)	-	SFI 9.2.4

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
3.28	Approve payroll transactions, including new starters (and salary justifications where relevant), changes in circumstances and terminations.	Executive Directors (including the Chief Executive) and Members of the Senior Leadership Team	Senior Leadership Team members are defined as postholders that report directly to the Executive Directors A list of payroll signatories is maintained by the Human Resources Team.	SFI 8.4.2
3.29	Make urgent financial decisions relating to the ICB within the ICB unit of planning and other NHS organisations within the health community as appropriate during a major incident.	Gold On Call Silver On Call	The Department of Health and Social Care defines a major incident as an event or a situation which threatens serious damage to human welfare in a place in the UK, the environment of a place in the UK, or war or terrorism which threatens serious damage to the security of the UK.	-
3.30	Appoint the Deputy SIRO.	SIRO	-	-
3.31	Appoint Information Asset Owners.	SIRO	-	-
3.32	Executing a contract by signature – new contracts	Chief Executive	Following approval in line with section 5 of the SoRD.	-
3.33	Executing a contract by signature – contract extensions (where contracts were let with an allowed extension period)	Chief Executive	Following approval in line with section 5 of the SoRD.	-

Ref.	Reserved/delegated matter	Delegated to	Additional information	Reference
3.34	Executing a contract by signature – existing contracts (variations that are considered novel, contentious or repercussive)	Chief Executive	-	-
3.35	Executing a contract by signature – existing contracts (where Cost Uplift Factor is applied or where variations are required to the value of a part or all of the contract)	Chief Executive or Director of Finance	Following approval in line with section 5 of the SoRD.	-
3.36	Executing a contract by signature – existing contracts (annual updates to latest version of standard contract/ national variation)	Associate Director of Contracting	-	-
3.37	Executing a contract by signature – existing contracts (minor variations)	Associate Director of Contracting	Minor variations include (but are not limited to) a name change, or an agreed change to a policy or service specification)	-

#### 4. Functions and decisions delegated to other statutory bodies or to be exercised jointly

Ref.	Delegated matter	Delegated to	Additional information
4.1	<p><b>Agreement between Nottingham and Nottinghamshire ICB and Nottinghamshire County Council relating to the commissioning of health and social care services within the Nottinghamshire County Better Care Fund:</b></p> <p>a) Exercise NHS functions to the extent necessary for the purposes of performing the obligations under the Agreement and management of the Nottinghamshire Better Care Pooled Fund.</p> <p>b) Decisions relating to the Nottinghamshire Better Care Fund Plan.</p>	<p>a) Nottinghamshire County Council</p> <p>b) Nottinghamshire County Health and Wellbeing Board</p>	<p>Agreement under section 75 of the NHS Act 2006 (as amended)</p>
4.2	<p><b>Agreement between Nottingham and Nottinghamshire ICB and Nottingham City Council relating to the commissioning of health and social care services within the Nottingham City Better Care Fund:</b></p> <p>a) Exercise NHS functions to the extent necessary for the purposes of performing the obligations under the Agreement and management of the Nottingham City Better Care Pooled Fund.</p> <p>b) Decisions relating to the Nottingham City Better Care Fund Plan.</p>	<p>a) Nottingham City Council</p> <p>b) Nottingham City Health and Wellbeing Board</p>	<p>Agreement under section 75 of the NHS Act 2006 (as amended)</p>
4.3	<p><b>Agreement for the integration and provision of Integrated Community Equipment Loan Services:</b></p> <p>a) Exercise NHS functions to the extent necessary for the purposes of performing the obligations under the Agreement.</p> <p>b) Commissioning, procurement and management of the Integrated Community Equipment Loan Services (ICELS) and management of the ICELS pooled fund and the purchasing of medical/nursing equipment.</p>	<p>Nottinghamshire County Council</p>	<p>Agreement under section 75 of the NHS Act 2006 (as amended)</p> <p>Decisions around significant contract variations are delegated to the ICELS Partnership Board</p>



Ref.	Delegated matter	Delegated to	Additional information
4.4	<p><b>Agreement for the funding and management of Sexual Violence Hub and Therapy Support Service, Independent Sexual Violence Support Service (including the Survivor Support Service) and Sexual Violence Engagement Manager in Nottinghamshire:</b></p> <p>a) Commissioning, procurement and management of sexual violence support services.</p>	Police and Crime Commissioner for Nottinghamshire	Agreement under section 75 of the NHS Act 2006 (as amended)
4.5	<p><b>Agreement for the communication aids panel and purchasing of equipment (Children’s specialist communication aids):</b></p> <p>a) Exercise NHS functions to the extent necessary for the purposes of performing the obligations under the Agreement.</p> <p>b) Act as lead commissioner for the service.</p> <p>c) Management of the pooled fund.</p>	Nottinghamshire County Council	Agreement under section 75 of the NHS Act 2006 (as amended)
4.6	<p><b>Agreement for the Community Infection Prevention Service for Nottinghamshire County:</b></p> <p>a) Exercise NHS functions to the extent necessary for the purposes of performing the obligations under the Agreement.</p> <p>b) Act as lead commissioner for the service.</p> <p>c) Management of the pooled fund.</p>	Nottinghamshire County Council	Agreement under section 75 of the NHS Act 2006 (as amended)

Ref.	Delegated matter	Delegated to	Additional information
4.7	<p><b>Joint Working Agreements between Nottingham and Nottinghamshire ICB, NHS Derby and Derbyshire ICB, NHS Leicester, Leicestershire and Rutland ICB, NHS Lincolnshire ICB, and NHS Northamptonshire ICB for the joint exercise of the following NHS England delegated commissioning functions:</b></p> <ul style="list-style-type: none"> <li>a) Primary Dental Services and Prescribed Dental Services</li> <li>b) Primary Ophthalmic Services</li> <li>c) Pharmaceutical Services and Local Pharmaceutical Services</li> <li>d) Specialised Commissioning Functions</li> </ul>	East Midlands Joint Commissioning Committee	Agreement under section 65Z5 of the NHS Act 2006 (as amended), in line with the relevant Delegation Agreements between NHS Nottingham and Nottinghamshire ICB and NHS England.

## 5. Delegated financial limits

### 5.1 Healthcare services – Resource allocations (approval of investment business cases)

This includes approval of investment utilising funding received from an external body, including NHS England, that is provided for a specific purpose (this does not apply to 'pass through costs' where the ICB is actioning a financial resource allocation). Contract variations that have a material impact on the original contract value should also be considered and approved as an additional investment.

Annual value (£)	Delegated to	Additional information	Reference
Up to £100,000	Director of Finance Director of Nursing Medical Director Director of Delivery and Operations Director of Strategy and System Development	Retrospectively reported to Strategic Planning and Integration Committee Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Strategic Planning and Integration Committee	SFI 9.1
Up to £500,000	Chief Executive (or Deputy Chief Executive in their absence)	Retrospectively reported to Strategic Planning and Integration Committee Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Strategic Planning and Integration Committee	SFI 9.1
£500,001 to £5,000,000, or where proposals below this value are considered to set precedent, or are novel, contentious or repercussive in nature	Strategic Planning and Integration Committee	Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Board	SFI 9.1
£5,000,001 and above, or where proposals below this value are considered to set precedent, or are novel, contentious or repercussive in nature	Board	-	SFI 9.1

## 5.2 Healthcare services – Resource allocations (approval of disinvestment business cases)

Annual value (£)	Delegated to	Additional information	Reference
Up to £100,000	Chief Executive (or Deputy Chief Executive in their absence)	Retrospectively reported to Strategic Planning and Integration Committee Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Strategic Planning and Integration Committee	SFI 9.1
£100,001 to £5,000,000 or where proposals below this value are considered to set precedent, or are novel, contentious or repercussive in nature	Strategic Planning and Integration Committee	Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Board	SFI 9.1
£5,000,001 and above, or where proposals below this value are considered to set precedent, or are novel, contentious or repercussive in nature	Board		SFI 9.1

## 5.3 Healthcare services – Approval of provider selection process and contract awards and contract modifications

Provider selection process and associated contract award/modification	Annual value	Delegated to	Additional information	Reference
Direct Award A	All values	Commissioning Review Group	Retrospectively reported to Strategic Planning and Integration Committee	SFI 9.2 and 9.3
Direct Award B	Nil value (value and volume subject to patient choice)	Commissioning Review Group	In line with provider accreditation process, and retrospectively reported to Strategic Planning and Integration Committee	SFI 9.2 and 9.3

Provider selection process and associated contract award/modification	Annual value	Delegated to	Additional information	Reference
Direct Award C <u>or</u> Most Suitable Provider	Up to £100,000	Executive Director	Retrospectively reported to Strategic Planning and Integration Committee. Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Strategic Planning and Integration Committee	SFI 9.2 and 9.3
	Up to £500,00	Chief Executive	Retrospectively reported to Strategic Planning and Integration Committee. Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Strategic Planning and Integration Committee	SFI 9.2 and 9.3
	£500,001 and above, or where proposals below this value are considered to set precedent, or are novel, contentious or repercussive in nature	Strategic Planning and Integration Committee	Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Board.	SFI 9.2 and 9.3
Competitive – approval of <b><u>process</u></b>	All values	Commissioning Review Group	Retrospectively reported to Strategic Planning and Integration Committee	SFI 9.2 and 9.3
Competitive – approval of <b><u>contract award</u></b>	Up to £100,000	Executive Director	Retrospectively reported to Strategic Planning and Integration Committee. Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Strategic Planning and Integration Committee	SFI 9.2 and 9.3

Provider selection process and associated contract award/modification	Annual value	Delegated to	Additional information	Reference
	Up to £500,00	Chief Executive	Retrospectively reported to Strategic Planning and Integration Committee. Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Strategic Planning and Integration Committee	SFI 9.2 and 9.3
	£500,001 and above, or where proposals below this value are considered to set precedent, or are novel, contentious or repercussive in nature	Strategic Planning and Integration Committee	Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Board.	SFI 9.2 and 9.3

#### 5.4 Healthcare services – Approval of individual healthcare packages (continuing healthcare and joint packages of care for adults, children and young people’s continuing care, and section 117 aftercare)

Weekly value (£)	Delegated to	Additional information	Reference
Up to £2,000 (health funding)	CHC Team (Band 7 and above)	-	SFI 9.1
Up to £2,000 (requiring joint/section 117 funding)	Adult CHC/Joint Package of Health and Social Care Panel	-	SFI 9.1
£2,001 and above	Complex Care, High-Cost and Quality Assurance Panel	-	SFI 9.1

## 5.5 Healthcare services – Decisions on the use of medicines

Value (£)	Delegated to	Additional information	Reference
Up to £100,000	Nottingham and Nottinghamshire Area Prescribing Committee (NAPC)	Decisions will be shared with the ICB through an agreed schedule of reporting to the ICB Medicines Optimisation Finance Group	-
£100,001 and above	Chief Executive (or Deputy Chief Executive in their absence)		

## 5.6 Non-healthcare services – Resource allocations (approval of business cases)

Annual value (£)	Delegated to	Additional information	Reference
Up to £100,000	Director of Finance Director of Nursing Medical Director Director of Delivery and Operations Director of Strategy and System Development	Retrospectively reported to Finance and Performance Committee Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Finance and Performance Committee	SFI 9.1
Up to £500,000	Chief Executive (or Deputy Chief Executive in their absence)	Retrospectively reported to Finance and Performance Committee Decisions that are considered to set precedent, or are novel, contentious or repercussive in nature can be escalated to the Finance and Performance Committee	SFI 9.1
£500,001 and above, or where proposals below this value are considered to set precedent, or are novel, contentious or repercussive in nature	Finance and Performance Committee	-	SFI 9.1

## 5.7 Non-healthcare services – Authorisation of contract awards

Annual value (£)	Delegated to	Additional information	Reference
Up to £100,000	Director of Finance Director of Nursing Medical Director Director of Delivery and Operations Director of Strategy and System Development	Retrospectively reported to Finance and Performance Committee	SFI 9.2 and 9.3
Up to £500,000	Chief Executive (or Deputy Chief Executive in their absence)	Retrospectively reported to Finance and Performance Committee	SFI 9.2 and 9.3
£500,001 and above	Finance and Performance Committee		SFI 9.2 and 9.3

## 5.8 Non-healthcare services – Property leases

Lease matter	Delegated to	Additional information	Reference
ICB Headquarters: <ul style="list-style-type: none"> <li>Preparation and signature of tenancy agreements/licenses</li> <li>Extensions to existing leases</li> <li>Approval of rent calculation</li> </ul>	Chief Executive <b>and</b> Director of Finance	-	SFI 10.3
General Practice: <ul style="list-style-type: none"> <li>Extensions to existing leases</li> <li>Approval of rent calculation</li> </ul>	Associate Director of Estates <b>and</b> Deputy Director of Finance	Value up to £15,000	SFI 10.3
General Practice: <ul style="list-style-type: none"> <li>Extensions to existing leases</li> <li>Approval of rent calculation</li> </ul>	Finance and Performance Committee	Value £15,001 and above	SFI 10.3



## 5.9 Non-healthcare services – Procurement card expenditure

Monthly limit (£)	Delegated to	Additional information	Reference
Up to £5,000	Associate Director of Procurement and Commercial Development	One card issued to named individual. Usage restricted to purchase of goods and services from one-off suppliers who will only provide such goods and services with immediate payment. No facility for cash withdrawals.	SFI 6.2.1

## 5.10 Authorisation of requisitions and invoices (in Oracle)

*In line with budget management responsibilities and subject to procurement requirements.*

Invoice/requisition value (£)	Delegated to	Additional information	Reference
Up to £1,000	Heads/Deputy Heads of Service – Band 8b	-	SFI 9.4
Up to £10,000	Individual Packages Manager (CHC) – Band 7 Director of Communications and Engagement Associate Chief Pharmacists	-	SFI 9.4
Up to £25,000	Director of Corporate Affairs Senior Continuing Healthcare Manager – Band 8a	-	SFI 9.4
Up to £50,000	Contract Managers – Band 7 Head of Continuing Healthcare – Band 8b Director of System Analytics and Intelligence Unit Ageing Well Programme Director – Band 8d	-	SFI 9.4
Up to £100,000	Head of Primary Care Heads of Service – Band 8c Deputy Locality Directors – Band 8c	-	SFI 9.4

Invoice/requisition value (£)	Delegated to	Additional information	Reference
Up to £500,000	Senior Contract Managers – Band 8a to Band 8c Assistant Director (CHC) – Band 8c Associate Director of Primary Care Locality Directors Associate Director of Procurement and Commercial Development Head of Primary Care IT Chief Pharmacist	-	SFI 9.4
Up to £5,000,000	Deputy Director of Nursing Associate Director of Estates Associate Director of Strategic Programmes Associate Director of Workforce Transformation Associate Directors of Commissioning – Band 9	-	SFI 9.4
Up to £20,000,000	Director of Nursing Medical Director Director of Delivery and Operations Director of Strategy and System Development Deputy Directors of Finance	-	SFI 9.4
Unlimited	Chief Executive Director of Finance	-	SFI 9.4

### 5.11 Off-payroll and agency worker appointments

Value (£)	Delegated to	Additional information	Reference
Less than £400 per day and less than three months engagement	Members of the Senior Leadership Team	Senior Leadership Team members are defined as postholders that report directly to the Executive Directors	SFI 8.5

Value (£)	Delegated to	Additional information	Reference
Less than £600 per day and less than six months engagement	Chief Executive Director of Finance Director of Nursing Medical Director Director of Delivery and Operations Director of Strategy and System Development	Unless the role is of significant influence (see below)	SFI 8.5
Less than £600 per day and greater than six months (including where initial arrangements were for less than six months and have then been extended to greater than six months)	Human Resources Steering Group	Subject to NHS England approval	SFI 8.5
More than £600 per day	Human Resources Steering Group	Subject to NHS England approval	SFI 8.5
Role of significant influence	Human Resources Steering Group	Subject to NHS England approval	SFI 8.5

## 5.12 Consultancy spend

Value (£)	Delegated to	Additional information	Reference
Up to £49,999	Chief Executive Director of Finance Director of Nursing Medical Director Director of Delivery and Operations Director of Strategy and System Development	-	SFI 8.5

Value (£)	Delegated to	Additional information	Reference
£50,000 and above	Human Resources Steering Group	Subject to NHS England approval	SFI 8.5