Risk Stratification Purposes

Purpose	Risk stratification is a process for identifying and caring for patients with long term health conditions and patients who are at high risk of emergency hospital admission. NHS England encourages ICBs and GPs to use risk stratification tools as part of their local strategies for supporting patients with long-term conditions, such as chronic obstructive pulmonary disease (COPD) and diabetes, to help prevent hospital admissions that could have been avoided. As well as helping GP Practices to provide Direct Care support, risk stratification is used by the ICB to support planning and commissioning, for example, understanding the numbers of patients in the region who require services to support COPD will enable us to commission the right services to better manage periods of ill health and to improve the quality of the services we are able to offer you.
Type of Information	Only de-identified information (NHS number removed) is accessible to the ICB. This privacy notice covers how the ICB uses the de-identified information.

Only GP Practices within the ICB area have access to identifiable information (NHS Number) of their own patients in order to see who may benefit from additional help. For more information on how individual practices use identifiable data for this purpose, please refer to individual practice privacy notices, these are not managed by the ICB. |
| **How we will collect and use the Information** | Risk stratification tools use a mix of historic information about patients such as age, gender, diagnoses and patterns of hospital attendance and admission as well as data collected in GP practices.

NHS Digital provides information, identifiable by your NHS Number only, about hospital attendances. GP Practices provide information from GP records also identifiable by your NHS Number only. Both sets of information are sent via secure transfer to the risk stratification system where they are immediately pseudonymised and linked to each other. The risk stratification system uses a formula to analyse the pseudonymised data to produce a risk score. De-identified information only is made available to the ICB. |
| **Who we will share the information with** | This information is not shared outside of the ICB |

Legal Basis for Processing Personal Data	6(1)(e)processing is necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller; **Processing of Special Categories of Data** 9(2)(h) processing is necessary for the purposes of preventive or occupational medicine, for the assessment of the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or the management of health or social care systems and services on the basis of Union or Member State law or pursuant to contract with a health professional and subject to the conditions and safeguards referred to in paragraph 3 Schedule 1 part 1 (2) of DPA 2018 for 'Health or Social Care Purposes'
Common Law Duty of Confidentiality	A section 251 approval (CAG 7-04(a)/2013) from the Secretary of State, through the Confidentiality Advisory Group of the Health Research Authority, enables the pseudonymised information to be sent to the ICB via NHS Digital in order to help us plan the most appropriate health services for our population.
Data Processors	Data Services for Commissioners Regional Office (DSCRO)hosted by North of England Commissioning Support (NECS).
Your Rights	• To be informed about the processing of your information (this notice) • Of access to information held about you • Have the information corrected, in the event that it is inaccurate • To restrict or stop processing • Object to it being processed or used • Not to be subject automated decision-taking or profiling
How long we will keep the information	Your personal data will be deleted from our systems and files in line with the Records Management Code of Practice 2021. Records Management Code of Practice - NHS Transformation Directorate (nhsx.nhs.uk)
Opt- out	You have the right to opt-out of your identifiable information being used for Risk Stratification purposes. Please see the main notice for information on how to do this.